



Patent  
Attorney's Docket No. 032304-040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

John F. Shanley

Application No.: 10/057,414

Filed: January 25, 2002

For: EXPANDABLE MEDICAL DEVICE  
WITH LOCKING MECHANISM

Group Art Unit: 3731

Examiner: Unassigned

Confirmation No.: 9725

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OCT 09 2002

TECHNOLOGY CENTER #3700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No fee is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☒ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300

Date: 9/26/02

By:

Cindy A. Lynch  
Registration No. 38,699



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Shanley, John F.

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

**U.S. Patents**

5,837,313	11/17/98	Ding et al.
5,873,904	02/23/99	Ragheb et al.
6,042,606	03/28/00	Frantzen
6,273,910	08/14/01	Limon
6,309,414	10/30/01	Rolando et al.
6,395,326	05/28/02	Castro et al.
US2001/0044648	11/22/01	Wolinsky et al.

**Foreign Patents**

EP 0 950 386	10/20/99	Europe
WO 96/32907	10/24/96	PCT

Supplemental Information Disclosure Statement

Application No. 10/057,414

Attorney's Docket No. 032304-040

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WO 98/23228	06/04/98	PCT
WO 00/45744	08/10/00	PCT

I, the undersigned, hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1,56(c) more than three months prior to the filing of this Information Disclosure Statement. Therefore, no fee is required.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Cindy A. Lynch  
Cindy A. Lynch  
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